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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,384	03/01/2002	Cherita Arnece Peppers	16356.686 (DC-03130)	3346
27683	7590	07/13/2005	EXAMINER	
HAYNES AND BOONE, LLP 901 MAIN STREET, SUITE 3100 DALLAS, TX 75202			ROMANO, JOHN J	
			ART UNIT	PAPER NUMBER
			2192	
DATE MAILED: 07/13/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/087,384

Applicant(s)

PEPPERS ET AL.

Examiner

John J. Romano

Art Unit

2192

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on 14 April 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-10, 13-23 and 26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) 1-10, 13-23 and 26 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 June 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Remarks*

1. Applicants' amendment dated 04/14/2005, responding to the October 13th, 2005 Office action provided in the rejection of claims 1-40, wherein claims 1-10, 13-23 and 26 have been amended and Claims 11, 12, 24, 25 and 27-40 have been cancelled. Claims 1-10, 13-23 and 26 remain pending in the application and which have been fully considered by the examiner.

Applicant's arguments with respect to claims rejection have been considered but are moot in view of the new grounds of rejection.

Thus, the rejection of the claims over prior art in the previous Office action is maintained in light of additional new grounds of rejection as necessitated by amendment and **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language

Claims **1-8, 13- 21** and **26** are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Dodson, US 6,513,159 B1 (art of record and hereinafter **Dodson**).

2. In regard to claim **1**, **Dodson** discloses:

- *"A method for an automated operating system upgrade in an information handling system, from an older version to a newer version, comprising: preparing a target information handling system for an upgrade using an upgrade preparation program..."* (E.g., see Fig. 9 & Column 6, lines 7-14) wherein, the MBU interface prepares the system for the upgrade of the BIOS (operating system).
- *"...the program identifying the target system to determine whether a new operating system supports the target system..."* (E.g., see Fig. 7 & Column 5, lines 44-55) wherein, the platform (target system) is determined and compared to validate the BIOS upgrade or to determine whether it supports the target system.

Art Unit: 2192

- "...the program determining an operating system manufacturer and version..." (E.g., see Fig. 9 & Column 6, lines 15-30) wherein a verified BIOS version is compared authenticated via a key infrastructure which is the equivalent of Applicant's operating system manufacturer.
- "...the program downloading the new operating system if required..." (E.g., see Fig. 8 (810) & Column 5, lines 59-61) wherein the BIOS is downloaded.
- "...the program scanning for all drivers on the target system ..." (E.g., see Fig. 2 (215) & Column 3, lines 2-8) wherein the drivers are identified (scanned) on the target system.
- "...the program downloading any additional required drivers...." (E.g., see Fig. 2 (205) & Column 3, line 66 – Column 4, line 7) wherein Master installer (the program) downloads any required drivers.
- "...the program scanning to identify any factory installed software that are incompatible with the new operating system." (E.g., see Fig. 2 (230) & Column 3, lines 21-23) wherein the package selector identifies any drivers (factory installed software) that need to be upgraded or are incompatible with the new operating system.

3. In regard to claim 2, the rejections of base claim 1 are incorporated.

Furthermore, **Dodson** discloses:

- "...in response... the program prompting the target system for an approval to uninstall the incompatible software." (E.g., see Fig. 4 &

Column 4, lines 13-23), wherein, the program prompts the user on the target system for approval to install the driver upgrade or uninstall the incompatible software.

4. In regard to claim 3, the rejections of base claim 2 are incorporated.

Furthermore, **Dodson** discloses:

- "...in response to the approval, the program automatically uninstalling the incompatible software." (E.g., see Fig. 4 & Column 4, lines 22-23), wherein installing upgraded drivers may include uninstalling the incompatible driver when necessary.

5. In regard to claim 4, the rejections of base claim 2 are incorporated.

Furthermore, **Dodson** discloses:

- "...in response to a denial of the approval, the program advancing to a next step without uninstalling the incompatible software." (E.g., see Fig. 4 & Column 4, lines 13-23), wherein, the program advances to a next step without uninstalling the software if not approved.

6. In regard to claim 5, the rejections of base claim 1 are incorporated.

Furthermore, **Dodson** discloses:

- "...the program comparing data received from the target system against a table." (E.g., see Fig. 2 & Column 3, lines 36-49), wherein, the known hardware of the system is compared with valid stacks, which is the equivalent of a table.

Art Unit: 2192

7. In regard to claim 6, the rejections of base claim 5 are incorporated.

Furthermore, **Dodson** discloses:

- "...the table containing compatible results obtained from independent testing." (E.g., see Fig. 2 & Column 3, lines 36-49), wherein, the stacks are known to be valid wherein it is inherent that independent testing was performed in order to validate the stack.

8. In regard to claim 7, the rejections of base claim 6 are incorporated.

Furthermore, **Dodson** discloses:

- "...the program using results of the comparing to determine which applications and drivers of the target system are compatible with the new operating system." (E.g., see Fig. 2& Column 3, lines 44-49), wherein, the package selector (230) selects the drivers that need to be installed or updated on the computer system, the drivers are compared against the valid stacks (table) and the drivers that should be installed are determined from that stack as a result of the comparing.

9. In regard to claim 8, the rejections of base claim 6 are incorporated.

Furthermore, **Dodson** discloses:

- "...the program initiating removal of incompatible software." (E.g., see Fig. 4 & Column 4, lines 13-23), wherein, the program initiates the installation of an upgrade and thus the removal of incompatible software.

Art Unit: 2192

10. In regard to claim **13**, the rejections of base claim **1** are incorporated.

Furthermore, **Dodson** discloses:

- "...displaying upon a display device any current hardware information."

(E.g., see Fig. 4 & Column 4, lines 13-17), wherein the results of the hardware are displayed to the user.

11. As per claims **14-21** and **26**, this is a computer program product version of the claimed method discussed above, in claims **1**, **5-7** and **13**, wherein all claimed limitations have also been addressed and/or cited as set forth above, wherein **Dodson** also discloses a computer readable storage medium storing "computer readable program code" (E.g. see, Figure 1 (145) & Column 2, lines 51-53).

### ***Claim Rejections - 35 USC § 103***

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims **9** and **22** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Dodson**, and further in view of **Kenyon et al.**, US 2002/0100035 (hereinafter **Kenyon**).



Art Unit: 2192

14. In regard to claim **9**, the rejections of base claim **1** are incorporated. But **Dodson** does not expressly disclose "...driver include at least one of an audio driver, video driver, modem driver, and network driver.". However **Kenyon** discloses:

- "...driver include at least one of an audio driver, video driver, modem driver, and network driver." (E.g., see Figure 1a & Page 4, Paragraph [0044]), wherein audio, video and network drivers are upgraded.

**Dodson** and **Kenyan** are analogous art because they are both concerned with the same field of endeavor, namely, an automated method for preparing to upgrade software. Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to combine **Kenyans'** specific drivers with **Dodsons'** upgrade preparation process. The motivation was disclosed by **Dodson** (E.g., see Fig. 2 & Column 5, lines 4-25), wherein he teaches to inspect the current drivers, compare and replace them based on the hardware configuration. It would have been obvious to include a modem and network driver as Dodson's invention is performed on a distributed network and would thus require such drivers. Furthermore, **Dodson** teaches "...to locate all installed hardware peripherals and drivers" (Column 3, lines 6-8), wherein any audio, video, network and modem drivers would be located and thus upgraded. Thus, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to include at least one of audio, video, modem or network driver in the driver upgrade.

15. As per claim **22**, this is a computer program product version of the claimed method discussed above, in claim **9**, wherein all claimed limitations have also been

Art Unit: 2192

addressed and/or cited as set forth above, wherein **Dodson** also discloses a computer readable storage medium storing "computer readable program code" (E.g. see, Figure 1 (145) & Column 2, lines 51-53).

16. Claims **10** and **23** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Dodson**, and further in view of **Killebrew et al.**, US 5,577,244 (hereinafter **Killebrew**).

17. In regard to claim **10**, the rejections of base claim **8** are incorporated. But **Dodson** does not expressly disclose "...the program preparing a directory for any new drivers needed for operation of the new operating system.". However **Killebrew** discloses:

- "...the program preparing a directory for any new drivers needed for operation of the new operating system." (E.g., see Fig. 4 & Column 12, lines 18-24), wherein, a target director is created (prepared) for the new drivers needed for operation of the new operating system.

**Dodson** and **Killebrew** are analogous art because they are both concerned with the same field of endeavor, namely, an automated method for preparing to upgrade software. Therefore, at the time the invention was made, it would have been obvious to a person of ordinary skill in the art to combine **Killebrews'** target directory with **Dodsons'** upgrade preparation process. The motivation was disclosed by **Dodson** (E.g., see Fig. 2 & Column 5, lines 4-25), wherein he teaches to inspect the current drivers, compare and replace them based on the hardware configuration. It would have

Art Unit: 2192

been obvious to include a target director as it would be required as part of the installation. Furthermore, **Dodson** teaches "...a user friendly technique...which facilitates the updating of software programs..." (Column 1, lines 46-49), wherein, preparing the target directory, which is a necessary step in updating drivers, facilitates the updating of the programs. Thus, it would have been obvious to one of ordinary skill in the art, at the time the invention was made to "...the program preparing a directory for any new drivers needed for operation of the new operating system.".

18. As per claim **23**, this is a computer program product version of the claimed method discussed above, in claim **10**, wherein all claimed limitations have also been addressed and/or cited as set forth above, wherein **Dodson** also discloses a computer readable storage medium storing "computer readable program code" (E.g. see, Figure 1 (145) & Column 2, lines 51-53).

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John J. Romano whose telephone number is (571) 272-3872. The examiner can normally be reached on 8-5:30, M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Q. Dam can be reached on (571) 272-3695.


The facsimile number for the organization where this application or proceeding is assigned to the Central Facsimile (FAX), **793-872-9306**. On July 15, 2005, the Central Facsimile Number will change from **703-872-9306** to **571-273-8300**. Faxes sent to the

Art Unit: 2192

old number will be routed to the new number until September 15, 2005. After September 15, 2005, the old number will no longer be in service and 571-273-8300 will be the only facsimile number recognized for "centralized delivery".

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JJR

  
TED T. VO  
Primary Examiner